

1 **Characterizing land-use diversity in village landscapes for**
2 **sustainable mountain development: a case study from Indian**
3 **Himalaya**

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13 **ABSTRACT**

14 This study aimed to analyze the ecological, socio-economic and policy
15 implications of land-use diversity in a traditional village landscape (900-1000
16 m *amsl.*) in the Garhwal region of Indian Himalaya. The village landscape was
17 differentiated into three major land use types viz., forests, settled agriculture
18 and shifting agriculture. Settled agriculture was further differentiated into four
19 types of agroecosystem types viz., homegarden system (HGS), rainfed
20 agroforestry system (RAS), rainfed crop system (RCS) and irrigated crop
21 system (ICS), shifting agriculture system (SAS) into different stages of a 4-
22 year long cropping phase and a 7-year long fallow phase, and forests into
23 Community Forests (CF) and Reserve Forests (RF). HGS is the most
24 productive agroecosystem, with soil organic carbon and nutrient
25 concentrations significantly higher than all other forest/agricultural land-uses.
26 Farmers capitalize upon crop diversity to cope with the risks and uncertainties
27 of a monsoon climate and spatial variability in ecological factors influencing
28 productivity. SAS, a land-use adopted to acquire inheritable rights over larger
29 land holdings provided in the policies during the 1890s, is less efficient in
30 terms of land productivity than the traditional RAS and HGS but is maintained
31 for higher labour productivity and availability of high quality fuelwood from
32 fallow vegetation. Dominance of fodder trees in the RAS seems to derive from
33 policies causing shortage of fodder available from forests. Cultural norms
34 have favoured equity by allowing hiring of labour only from within the village
35 community and income from non-timber forest products only to the weaker
36 section of the society. Conversion of rainfed to irrigated cropping, a change
37 facilitated by the government, improves agricultural productivity but also
38 increases pressure on forests due to higher rates of farm yard manure input
39 applied to the irrigated crops. Existing forest management systems are not
40 effective in maintenance of a large basal area in forests together with high
41 levels of species richness, soil fertility and resistance to invasive alien species
42 *Lantana camara*. Farmers have to spend huge amount of labour and time in
43 producing farmyard manure, managing livestock and other subsidiary farm
44 activities. Interlinkages between agriculture, forests and rural economy

45 suggest a need of replacing the present policies of treating agricultural
46 development, forest conservation and economic development as independent
47 sectors by an integrated sustainable development policy. The policy should
48 promote technological and institutional innovations enabling parallel
49 improvements in agricultural productivity and functions of forest ecosystems.
50 Keywords: Land-use/cover diversity; Himalaya; resource use patterns;
51 sustainable development; traditional knowledge.

52 **1. INTRODUCTION**

53 Himalaya is a vast mountain system covering partly/fully eight
54 developing countries of south Asia including Afghanistan, Bangladesh,
55 Bhutan, China, India, Myanmar, Nepal and Pakistan. India's recognition as a
56 'mega-diversity' country and as one of the ten largest forested areas in the
57 world derives partly from the Himalaya. More than 90% people of the Indian
58 Himalaya live in villages, which are organized as independent socio-
59 ecological systems. Village landscapes are mosaics of a range of agricultural
60 and forest ecosystem types under the control of local communities surrounded
61 by forests under the control of the government. Traditional crop-livestock
62 mixed farming, the backbone of livelihood of local people, is highly
63 dependent on forests for livestock feed and manure. Changes in forest
64 ecosystem structure and functions may be coupled with changes in quality
65 and/or quantity of livestock feed and manure, which in turn may induce
66 changes in agroecosystem structure and functions. Similarly, changes in
67 manure input rates in farm land may alter grazing/lopping regimes in forests
68 leading to changes in forest ecosystem structure and functions. Apart from the
69 inputs that drive agricultural production process, forests provide a range of
70 other products and services, which are crucial not only for sustainable
71 livelihood of 115 million people living in the Himalaya, but also for a much
72 larger population inhabiting the adjoining Indo-gangetic plains (Ives and
73 Messerli, 1989; Hurni, 1999). An agricultural system will be considered to be
74 sustainable if its productivity is maintained in the long run, natural resources
75 driving agricultural production process are conserved and profitability of
76 production and therefore financial incomes to farmers are guaranteed (Neher,
77 1992; Kessler, 1994). As agricultural production is directly linked to
78 surrounding ecosystems, consideration of all interactions between the
79 agricultural production system and natural ecosystems in cultivated landscapes
80 is a critical requirement for developing sustainable land-use policies and
81 programmes (Briggs and Twomlow, 2002; Ghera et al., 2002; Desbiez et al.,
82 2004; Bajukya et al., 2005). Efforts have been made to analyze the structure,
83 functions and management of selected agricultural and forestry land-uses in
84 the Himalaya (Singh and Singh, 1992; Sharma and Sharma, 1993; Pilbeam et
85 al., 2000) as well as other mountain regions in developing countries (Murage
86 et al., 2000; Poudel et al., 2000; Clermont-Dauphin et al., 2005). However, a
87 comprehensive analysis of ecological and socio-economic attributes of the full
88 range of land-use/cover types within village landscapes is lacking. This
89 deficiency in knowledge partly accounts for environmental degradation as an

90 outcome of rural development programmes and farmers' negative attitudes
91 towards environmental conservation programmes in the Himalaya (Rao et al.,
92 2003). This study aimed to characterize the diversity of land-use/cover, in
93 terms of variability in cropping patterns, agricultural inputs and productivity,
94 vegetation structure, soil nutrient pools and management practices, and its
95 environmental, socio-economic and policy implications.

96 **2. METHODS**

97 *2.1. Study area*

98 The study was carried out in village Bacchelikhal (29°26' to 30°28' N
99 latitude and 29°26' to 30°28' E longitude), a typical mid-altitude (900-1000 m
100 *amsl.*) village of Garhwal region of Indian Himalaya (Figure 1). The year
101 consists of three seasons: warm rainy season (July to September), winter
102 season (October to March) and dry summer (April to June). Mean annual
103 rainfall is 1500 mm and temperature 21°C. The parent material consists of
104 schistose, phyllite and biotitequartz, flaggy quartzite and sericite quartzs. Soils
105 are 30-80 cm deep and can be classified as Dystric Cambisols. The village
106 comprises 48 households, with average family size of 6 individuals, farm
107 holding of 1.7 ha and livestock holding of 5 adult units of livestock
108 comprising cattle, goats and mules/horses. Livestock are sustained partly by
109 stall-feeding of crop by-products mixed with green fodder from farm/forest
110 trees and partly by grazing in forests. Leaf litter collected from forests is used
111 as bedding material in livestock-sheds. The mixture of litter and livestock
112 excreta is used as manure in settled agriculture. The village community
113 consists of two strata: the lower caste group and the higher caste group. The
114 former group is characterized by a lower social status, smaller land holdings,
115 fewer individuals employed outside the village and lower incomes compared
116 to the latter group (Table 1).

117

118

119 *2.2. Policy interventions*

120 Land-use practices were guided by the indigenous knowledge system
121 until 1890s. Policy interventions related to land-use/cover management and
122 livelihood in the study area include: (i) grant of inheritable ownership rights
123 on cultivated lands together with a legal ban on expansion of agricultural land-
124 use in the 1890s, (ii) classification of traditional village forests into: (a)
125 Community Forests, where all subsistence resource uses were regulated by
126 the Village Council (comprising 7 elected individuals) and commercial
127 extractions by the government agencies, and (b) Reserve Forests, where all
128 traditional resource uses were terminated, silvicultural practices favouring
129 timber species *Shorea robusta* were applied and all income from timber was
130 appropriated by the Government Forest Department during 1900-1976 period,
131 (iii) suspension of cutting of green trees in forests or farmlands for timber
132 trade since 1976, (iv) supply of chemical fertilizers, pesticides, seeds of high
133 yielding varieties of maize, soybean and rice, saplings of multipurpose trees
134 and a quota of staple food grains (25 kg of wheat and 30 kg of rice per family

135 per month) at subsidized price by the government since 1980, (v) provision of
136 government funds to meet 50% of the construction cost of tanks for harvesting
137 of run-off for irrigating crops since 1985 and (vi) improvement in
138 infrastructure by the government in whole of the Garhwal region after 1970.

139 *2.3. Differentiation of land-use/cover types in the village landscape*

140 Bacchelikhal village landscape comprised three broad land-use/cover
141 types viz., settled agriculture, shifting agriculture and forests, covering 35, 21
142 and 44% of the total area of the village (148 ha), respectively. Settled
143 agriculture was further differentiated into (i) homegarden system (HGS): a
144 dense crown cover (> 80%), dominance of fruit trees and understorey
145 vegetable crops irrigated by domestic waste water, (ii) rainfed agroforestry
146 system (RAS): rainfed cultivation of food crops and scattered multipurpose
147 trees (crown cover 10-20%), (iii) rainfed crop system (RCS): rainfed
148 cultivation of food crops in absence of trees and (iv) irrigated crop system
149 (ICS): cultivation of food crops irrigated by run-off accumulated in tanks in
150 absence of trees. HGS were nearest to the living places followed by the RAS.
151 Settled agriculture was managed based on decisions of individual families and
152 shifting agriculture on community decisions arrived through consensus.
153 Lower caste families practised only RAS and allocated larger areas to HGS
154 as compared to higher caste families. Differences in land-use management and
155 crop yields by caste were not evident as also observed elsewhere (Rao and
156 Saxena, 1994; Maikhuri et al., 1996, 2000). Forests occurred on slopes varying
157 from 30⁰ to 50⁰. Reserve Forests (RF) showed more luxuriant tree growth
158 compared to the Community Forests (CF) (Table 2).

159 *2.4. Participatory survey, crop yields and vegetation analysis*

160 Information on land-use history, relative areas of different
161 agroecosystem types/crops, farmers' perceptions on ecological and socio-
162 economic attributes of different crops and land-uses and selling/buying prices
163 of agricultural inputs/outputs was obtained based on semi-structured
164 interviews, using both open and probing questions, with the elders of the each
165 family separately (n = 48 families). Archival records related to land-use
166 regulations were consulted. Absolute area of a given crop/agroecosystem type
167 was computed from relative area values reported by the farmers and aggregate
168 area values obtained from the village records.

169 Three households were randomly selected for monitoring agricultural
170 inputs and outputs. The heads of these households were contacted regularly to
171 have advance information on the farming activities. Inputs viz., seed, manure,
172 bullock power and labour and outputs viz., human food and fodder were
173 estimated in three randomly selected plots, one in each of the three households,
174 of each type of crop grown in the RAS (n = 15 plots), RCS (n = 15 plots), ICS
175 (n = 6 plots) and the first and the last (fourth year) of cropping under SAS (n =
176 6). Mean inputs/outputs in each agroecosystem type were derived from the
177 areas under different crops grown in the system and input/output values of
178 respective crops. The entire HGS of a household was monitored to estimate
179 the inputs and outputs as this agroecosystem type covered a small area and

180 crop-wise disaggregation of inputs here was not possible because of
181 intermixing of a large number of crops. Inputs and outputs were converted into
182 energy equivalents following (Mitchell, 1979) and monetary equivalents based
183 on wage rates and buying/selling prices in the village. As the local people did
184 not attach any monetary value to bullock power and fodder available from
185 crop fields, these inputs were excluded from monetary budgeting. Different
186 crops and agroecosystems were compared in terms of land and labour
187 productivity (food energy, fodder energy and monetary value of the produce
188 per unit land area or per unit human energy input per unit time) and economic
189 efficiency (i.e. net monetary return and output/input ratio).

190 At the time of weeding, weed density was measured in 10 random
191 quadrats (1 × 1 m size), sampled in each crop type. These observations could
192 not be made in the fields under 4th year of cropping in shifting agriculture,
193 which were not weeded, and in the homegardens, which were so frequently
194 weeded that we failed to track some weeding events. Species-wise density
195 and basal area of mature trees were measured in 20 random quadrats (10 ×
196 10 m size) and of shrubs/tree saplings/seedlings in similar number of 5 × 5 m
197 size quadrats in a given ecosystem type.

198 2.5. Soil analysis

199 Soil was sampled from 0-10 cm, 10-20 cm and 20-30 cm depth from
200 15 random locations in each ecosystem type during summer season. Samples
201 were mixed randomly such that three composite samples were obtained for
202 each ecosystem type. Soil organic C was estimated by the Walkley-Black
203 method, total N by the Kjeldahl method, available P (extracted in sodium
204 bicarbonate solution at pH 8.5) by the molybdenum blue method,
205 exchangeable Ca and Mg by atomic absorption spectrophotometer and
206 exchangeable K by flame photometer (cation extraction in 1 M ammonium
207 acetate at pH 7). Bulk density was estimated and soil stocks were calculated
208 following Allen (1974).

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210

211 2.6. Statistical methods

212 One-way analysis of variance and least significant difference ($P =$
213 0.05) were applied to compare mean values of agricultural inputs/outputs and
214 properties of soil in different ecosystem types (Snedecor and Cochran, 1967).

215 3. RESULTS

216 3.1. Crops and cropping patterns

217 Two crops, a warm rainy season crop (April/May-October) and a
218 winter crop (November-April/May), were harvested in a year in settled
219 agriculture and only rainy season crop in shifting agriculture. Of the six rainy
220 season crops, maize (*Zea mays*) and soybean (*Glycine max*) were confined to
221 the rainfed agroforestry system (RAS) and horsegram (*Macrotyloma*
222 *uniflorum*) to shifting agriculture system (SAS), while sesame (*Sesamum*
223 *indicum*) was common to the irrigated crop system (ICS) and SAS and
224 barnyard millet (*Echinochloa frumentacea*) and finger millet (*Eleusine*

225 *coracana*) to the RAS and the rainfed crop system (RCS). Fingermillet was,
226 however, grown as a pure crop in the RCS but mixed with soybean in the RAS.
227 Of the two winter crops, both wheat (*Triticum aestivum*) and barley were
228 grown in the RAS and the RCS and only wheat in the ICS. Mixed crop of
229 wheat and barley was grown only in the RCS. In SAS, sesame was grown
230 during the first two years and horsegram (*Macrotyloma uniflorum*) in the last
231 two years of a 4-year long cropping phase (Table 3) alternating with a 7-year
232 long fallow phase.

233 In the RAS, after the first monsoon showers in April/May, *Muatha* and
234 *Bhagan* cultivars of barnyard millet and *Jhalarya* and *Chauras* cultivars of
235 fingermillet were sown in a few fields. If monsoon commenced by mid-June,
236 maize was sown in about 50% of the remaining fields. If maize growth was
237 normal till 20-25 days after sowing, soybean was intercropped between maize
238 rows and *Mungerikuad* cultivar of fingermillet was sown in the remaining
239 vacant fields. If the crop growth was poor during first month after sowing,
240 maize fields were ploughed afresh and sown with *Mungerikuad* cultivar of
241 fingermillet. If monsoon did not commence by mid-June, all cultivars of
242 barnyard millet and fingermillet were sown, covering almost equal areas
243 (Figure 2).

244 In HGS, vegetables viz., colocasia (*Colocasia esculenta*), pumpkin
245 (*Cucurbita pepo*), bitter gourd (*Momordica charantia*), chili (*Capsicum*
246 *annuum*), ladies finger (*Abelmoschus esculentus*), onion (*Allium cepa*), ginger
247 (*Zingiber officinale*), turmeric (*Curcuma domestica*), cucumber (*Cucumis*
248 *sativus*), potato (*Solanum tuberosum*), mustard (*Brassica campestris*) and rye
249 (*Brassica rugosa*) were grown as the major understorey crops mixed with a
250 few individuals of all crops grown in other agroecosystem types. The HGS
251 was thus a repository of domesticated crop diversity.

252 3.2. Inputs and outputs related to individual crops

253 In settled agriculture, maize-soybean mixed crop, a rainy season crop
254 confined to the RAS, was the most productive as well as the most intensively
255 cultivated crop. Productivity of barnyard millet grown in rainy season in the
256 RAS and the RCS did not differ significantly ($P > 0.05$), even though farmers
257 spent more of manure and labour on this crop in the former than in the latter
258 system. Barley, a winter crop common to the RAS and the RCS, showed
259 similar levels of productivity and manure/labour inputs in the two systems. In
260 wheat, a winter crop common to the ICS, RAS and RCS, productivity
261 increased with increase in labour and manure inputs. In SAS, labour input
262 drastically decreased from the first to the fourth year of cropping partly
263 because energy spent in clearing/burning of vegetation was accounted in the
264 1st year crop. Labour productivity of horsegram grown in the fourth year of
265 cropping was about four-times higher than that of sesame grown in the first
266 year of cropping but the two crops did not differ significantly ($P > 0.05$) in
267 terms of land productivity. Land productivity of sesame, a rainy season crop
268 common to the SAS and ICS, was two-times higher in the former compared to

269 the latter system, while the labour productivity in the two systems did not
270 differ significantly ($P > 0.05$) (Table 3).

271 3.3. Inputs and outputs related to different agroecosystem types

272 As agroecosystems differed in terms of crop composition and number
273 of crops harvested from a plot in a year and degree of crop-wise segregation of
274 inputs was not feasible in the HGS, it seemed useful to compare inputs and
275 outputs in different agroecosystems on an annual time scale (Table 4, 5).
276 Women spent more time (person days $\text{ha}^{-1} \text{yr}^{-1}$) than men in all
277 agroecosystems, with their contribution to total labour input varying from 86%
278 in the HGS to 60% in the ICS and SAS. Total labour input ($0.31 \text{ GJ ha}^{-1} \text{yr}^{-1}$)
279 in shifting agriculture was significantly ($P < 0.05$) lower compared to all
280 settled agroecosystems ($0.53\text{-}0.74 \text{ GJ ha}^{-1} \text{yr}^{-1}$). Comparing the three types of
281 settled agroecosystems evolved based on indigenous knowledge, the highest
282 rates of manure and labour inputs were observed in the HGS followed by the
283 RAS and the RCS.

284 The HGS was the most productive system followed by the RAS and
285 the RCS in terms of land and labour productivity of human food, the RAS and
286 RCS were more productive than the HGS in terms of fodder productivity. The
287 ICS, a land use facilitated by the government, required 33 times higher manure
288 input and two times higher human labour and bullock power inputs as
289 compared to the RCS. Labour input to the ICS was 1.4 times higher compared
290 to the RAS but the two systems did not differ in respect of other inputs (Table
291 4).

292 Land and labour productivities of the ICS were 3.1 times and 1.4 times,
293 respectively, higher compared to the RCS. Further, the ICS was less efficient
294 than the HGS and the RAS in terms of all measures of productivity, except
295 that it was as productive as the RAS in terms of monetary value of land
296 productivity. SAS was as efficient as the RAS and more efficient than the RCS
297 and ICS in terms of labour productivity, but less efficient than all settled
298 agroecosystem types except the RCS in terms of land productivity.

299 Only the HGS and SAS showed positive values of net annual monetary
300 returns and output/input ratio values higher than one, with monetary return
301 from the former system being 354% higher but output/input ratio 13% lower
302 compared to the latter system (Table 5).

303 Mean per capita annual production of cereals/millet was 545 kg, of
304 pulses 101 kg and of oilseeds 30 kg, indicating food self-sufficiency in the
305 village. On-field activities in the whole village absorbed 8750 adult person
306 days per year of labour compared to the available labour of 27010 adult person
307 days per year. Subsidiary farm activities (including preparation stall-
308 feeding/herding of livestock, preparation of farm yard manure and transport of
309 farm inputs/outputs between homesteads and crop fields) combined with other
310 domestic tasks (e.g., collection of fuelwood, wild edibles and medicinal plants
311 and maintenance of natural springs providing drinking water) involved labour
312 input (24500 person days in a year) 3.8 times higher than that to the on-field
313 activities. Children contributed 26% of the total labour input to subsidiary

314 farm activities and only 2% of that to on-farm activities. Lower caste families
315 provided 24% of total labour input to the farms of higher caste families. If
316 both on-field and subsidiary activities were considered together, the labour
317 available in the village was fully occupied.

318 3.4. Natural vegetation in the village landscape

319 The HGS was dominated by fruit trees *Psidium guajava*, *Punica*
320 *granatum* and *Carica papaya*, rainfed agroforestry system by high quality
321 fodder trees *Boehmeria rugulosa* and *Grewia optiva*, Community Forests (CF)
322 by moderate quality fodder/fuelwood species *Adina cardifolia* and *Gmelina*
323 *arborea* and Reserve Forests (RF) by high quality timber species *Shorea*
324 *robusta*. The RAS showed the highest tree species richness and the RF the
325 highest basal area. Most tree species of the CF were regenerating in 7-year-old
326 shifting cultivation fallow fields (Table 6). *Murraya koenigii* was the most
327 dominant shrub in forests and was followed by the alien invasive species
328 *Lantana camara* in the RF and *Rhus parviflora* in the CF. *R. parviflora* was
329 the most dominant species in 1-year old and *L. camara* in 7-year old fallow
330 fields (Table 7). People valued *M. koenigii* and *R. parviflora* stems as high
331 quality fuelwood and removed them before burning the slash in SAS.
332 *Ageratum conyzoides* was the most abundant species in 4th year cropping/1-
333 year old fallow field of the SAS and RAS, *Commelina erecta* in the RCS and
334 1st year of cropping under the SAS, *Stellaria media* in the ICS, *Oxalis*
335 *corniculata* in 7-year old fallow fields and *Tridax procumbens* in forests (Data
336 not presented here).

337 3.5. Soil chemical properties

338 Soil organic C, total N and exchangeable cations decreased with
339 increase in depth in all land-uses except the ICS showing the largest organic C
340 pool in 20-30 cm soil layer. Among settled agroecosystems, soils of the HGS
341 had 4-times larger organic C and nutrient pools compared to the RCS and 2-
342 times larger pools as compared to the ICS and RAS, with insignificant
343 differences ($P > 0.05$) between the latter two systems. In the SAS, soil organic
344 C and nutrient pools during first year of cropping after burn were almost 2-
345 times larger compared to those in 7-year old fallow fields. Further, soil
346 nutrient pools decreased with the progress of cropping phase, a trend that was
347 most pronounced for available P. There was no significant change in soil
348 properties over 7 years of fallow development. All soil pools except
349 exchangeable Ca were significantly larger in the CF compared to the RF
350 (Figures 3A-C and 4A-C).

351 3.6. Farmers criteria of distinguishing crops/cultivars and religious beliefs 352 related to farm/forest management

353 Participatory discussions with farmers led to the following deductions:

- 354 • Farmers distinguished crops/cultivars in terms of their adaptations to
355 various stresses determining risks to productivity under varied climatic
356 and management conditions together with their economic values
357 (Table 8). The crops could be classified into three groups: (a) maize,
358 soybean and wheat, which have high economic values but are highly

359 susceptible to soil moisture stress under low rainfall conditions or
360 nutrient stress under low manure input conditions, stresses in compact
361 soils in untilled agroecosystems and to shade caused by agroforestry
362 trees and weeds, (b) fingermillet, barnyard millet and barley, which
363 have low economic values and are less susceptible to soil
364 moisture/nutrient stresses and (c) sesame and horsegram, which have
365 high economic values and are able to grow in untilled soils and to
366 compete with weeds.

- 367 • Farmers determined cropping patterns based on costs/benefits and
368 opportunities/constraints associated with the available options of
369 minimizing the risks to crop productivity. Farmers perceived two
370 major risks to crop productivity: (a) the risk of water stress arising
371 from climatic uncertainty and (b) the risk of spending huge labour and
372 time in travel and transport by cultivating fields far away from
373 homesteads.
- 374 • Farmers understood conversion of rainfed to irrigated agriculture to be
375 a more efficient way of coping with the climatic risks and uncertainties
376 than the traditional way of adapting crops/cultivars to the monsoon
377 trends. However, they did not like the present tank based irrigation
378 system because it was costly to maintain and was unable to meet water
379 requirements of highly profitable crops like paddy and vegetables.
- 380 • Farmers realized that nutrient stresses derived not from any inherent
381 soil type constraint but from low manure input rates. They did not use
382 chemical fertilizers for two reasons: (a) they found benefits of
383 fertilizers insignificant in rainfed or low intensity irrigation from
384 existing tank based irrigation system and (b) they were to keep a stock
385 of this input because of uncertainty of its availability at subsidized
386 price from the government agencies.
- 387 • Farmers were aware of some loss of crop yields due to shading and
388 depredation of crops by birds perching on agroforestry trees in RAS
389 but reconciled this loss with the availability of tree fodder available in
390 limited quantities from the forests. Similarly, they reconciled the loss
391 in yields due to less intense weeding in SAS with the contribution
392 made by weeds in terms of soil conservation and rapid growth of
393 fallow vegetation.
- 394 • Farmers viewed settled and shifting agriculture as complementary
395 land-uses. They valued shifting agriculture for high labour productivity
396 (in terms of income) and availability of high quality fuelwood and
397 settled agriculture for high land productivity, food security and fodder
398 availability.
- 399 • There was a religious belief that catastrophic events would follow if
400 any family adopted timber trade as a means of livelihood, abandoned
401 agriculture, and hired labour from outside the village for farming.

402 Further, cultural norms restrained sale of non-timber forest products by
403 higher caste families and ploughing by women.

404 **4. DISCUSSION**

405 *4.1. Land-use diversity: patterns and driving factors*

406 Traditional subsistence farming in Garhwal and adjoining regions of
407 Himalaya is characterized by settled rainfed agriculture as the major and
408 homegarden as a minor land-use highly dependent on forests for inputs
409 required to produce manure and maintain livestock. Land management
410 objectives and practices have changed over time with changing socio-
411 economic conditions, technological innovations and policy interventions,
412 resulting in differentiation within traditional rainfed agriculture as well as
413 adoption of altogether new land-uses in the Himalaya (Singh et al., 1997;
414 Sherchan et al., 1999; Pilbeam et al., 2000) as also elsewhere (Plieninger and
415 Wilbrand, 2001; Zhang et al., 2004; Baijukiya et al., 2005). Farmers of the
416 Garhwal Himalaya believe that yield depressing effects of trees on understorey
417 crops outweigh their yield enhancing effects in tree-crop mixed farming, an
418 element of traditional knowledge also supported by scientific evidences
419 (Narain et al., 1998; Semwal et al., 2002). Though income from wood of farm
420 trees can compensate for crop yield losses due to them, marketing of wood is
421 restrained by religious faith as well as policies. It has been estimated that 4 ha
422 of forestland would be the minimum requirement for providing fodder and
423 manure needed for maintaining soil fertility and sustainable yields in one ha of
424 traditional settled rainfed agriculture (Hrabovzsky and Miyan, 1987; Ashish,
425 1993). Absence of agroforestry trees in village landscapes with forests
426 dominated by multipurpose trees covering areas four times larger than the area
427 under agriculture (Rao and Saxena, 1996; Semwal et al., 2004) suggest that
428 farmers adopt tree-crop mixed farming when their livelihood is threatened by
429 scarcity of forest resources. Density and species composition of farm tree
430 community would vary depending on the nature and magnitude of forest
431 resource scarcity, indigenous silvicultural knowledge and policies influencing
432 costs/benefits of growing trees on farm land (Gilmour and Nurse, 1991;
433 Nautiyal et al., 1998; Pilbeam et al., 2000; Semwal et al., 2004). In
434 Bacchelikhal village landscape, crop productivity in settled agriculture seems
435 to be constrained by shortage of fodder and manure, as evident from the forest
436 area accessible to the people being only 1/4th of that required for maintaining
437 soil fertility and sustainable yields (i.e., only one ha of community forest land
438 available against the requirement of 4 ha) through traditional means..
439 Maintenance of high quality fodder trees *Grewia optiva* and *Boehmeria*
440 *rugulosa* in the rainfed agroforestry system (RAS) could be viewed as an
441 indigenous way of adaptation to shortage of fodder and manure arising from
442 timber-centered forest policies. Farmers, however, do not maintain trees in the
443 rainfed or irrigated crop system (RCS or ICS) located far away from
444 homesteads because of huge labour and time required for managing trees,
445 transporting fodder (Gilmour and Nurse, 1991) and protecting crops from
446 birds perching on agroforestry trees.

447 Unlike the north-eastern Himalaya and many other mountain regions
448 where shifting agriculture evolved in ancient times is a major land-use system
449 at present (Ramakrishnan, 1992; Cairns and Garrity, 1999), this land-use is a
450 relatively recent and minor land-use in the central Himalaya (Bohle and
451 Adhikari, 1998) including the present village landscape. Establishment of
452 shifting agriculture system (SAS) in previously uncultivated lands requires
453 lesser energy and time compared to the traditional settled farming. Further, the
454 former land-use does not require manure and draught power inputs used in the
455 latter land-use. Archival records and oral history accounts suggest that the
456 policy of granting inheritable rights on all cultivated lands together with
457 restrictions on traditional uses of forest resources introduced during the 1890s
458 prompted farmers of central Himalaya to practise shifting agriculture for two
459 reasons : (i) establishment of shifting agriculture in previously uncultivated
460 lands was a more efficient way of staking claims over larger land holdings as
461 it required less energy and time compared to the establishment of settled
462 agriculture and (ii) restrictions on forest resources did not pose any threat to
463 crop yields in shifting agriculture as it did not depend on forest based inputs
464 (i.e., fodder and manure) for maintenance of soil fertility (Rawat, 1995; Negi
465 et al., 1997). Since the 1930s, policies do not provide for any agricultural
466 expansion but have facilitated partial restoration of traditional forest resource
467 use rights and access to alternatives to forest based farm inputs (i.e., chemical
468 fertilizers in place of farm yard manure), leading to conversion of shifting to
469 settled agriculture when livelihood is threatened by food shortage (Gilmour
470 and Nurse, 1991; Saxena et al., 1993). There seem several reasons for absence
471 of such a land-use change in the present village landscape. First, as the village
472 is self-sufficient in terms of its food requirements, farmers have so far not
473 realised the need of raising land productivity by converting shifting to settled
474 agriculture. Second, forest resources accessible to people are inadequate to
475 provide fodder and manure needed for obtaining optimal yields from the
476 present area under settled agriculture restraining any further expansion of
477 settled agriculture. Third, labour shortage arising from migration of rural
478 people to urban areas favours maintenance of SAS characterized by low levels
479 of labour inputs but high labour productivity. Fourth, availability of high
480 quality fuelwood from *Rhus parviflora* and *Murraya koenigii*, the dominant
481 species of fallow vegetation, resolves the problem of shortage of fuelwood
482 arising from timber centred forest policies.

483 The input and output values at crop or agroecosystem level reported in
484 the present study are within the range of values reported from the region
485 (Singh et al., 1997; Rao et al., 2005b). Agricultural land-use diversity reflects
486 farmer's ways of meeting their diverse needs and of coping with the climatic
487 risks and other constraints to agricultural productivity. In Garhwal Himalaya,
488 farmers view two major risks to crop productivity: (a) the risk of climate
489 arising from uncertainty of monsoon rainfall and (b) the risk of cultivating
490 distant fields arising from huge labour and time to be spent in travel/transport.
491 In settled agriculture, the lowest manure input to the RCS characterized by

492 both climatic and distance related risks, medium level inputs to the RAS by
493 only climatic risks and the ICS by only distance related risk and the highest
494 inputs to the HGS devoid of the two risks (Table 2) suggests that farmers tend
495 to apply more of inputs available in limited quantities to the perceived low risk
496 agroecosystems as compared to the more risky ones (Carter and Murwira,
497 1995).

498 Further, farmers tend to reduce the risks to productivity by choosing
499 crops/cultivars based on indigenous knowledge on their performance under
500 varied ecological conditions. Cultivation of a range of local millet cultivars
501 differing in respect of their performance under varied monsoon conditions is a
502 means of coping with climatic variability and uncertainty as also reported by
503 Bardsley (2003). Fingermillet, barnyard millet and horsegram are always
504 grown in rainfed conditions on residual soil fertility or with manure inputs
505 substantially lower than those to maize and soybean (Maikhuri et al., 1996;
506 Singh et al., 1997; Sherchan et al., 1999; Pilbeam et al., 2000) as farmers view
507 the former crops less sensitive to climatic variability and soil moisture/nutrient
508 stresses compared to the latter crops. This perception of farmers is partly
509 supported by an insignificant difference in barnyard millet yields in the RAS
510 and the RCS differing in manure input rates and soil nutrient levels observed
511 in this study and a 17 fold variation in maize yield compared to 2 fold
512 variation in millet yield over a range of manure/fertilizer input rates and 2.1
513 fold variation in maize yield compared to 1.6 fold variation in millet yield over
514 a period of 8 years of experiment reported in Sherchan et al. (1999). Further
515 research is needed to validate farmers' perceptions about crop/cultivar-
516 environment relationships.

517 Local people view fingermillet and barnyard millet as less-delicious
518 staple food compared to maize but grow them on a significant scale to cope
519 with the unpredictability and variability of monsoon climate and nutrient stress
520 in distant rainfed fields receiving lower quantities of farm yard manure.
521 Conversion of rainfed to irrigated farming reduces the risks of climatic
522 uncertainty and improves productivity (Bhatnagar et al., 1996; Maikhuri et al.,
523 1997). However, changes in cropping patterns coupled with this conversion
524 result in an increase in farm yard manure input rates. Conversion of rainfed to
525 irrigated farming seems to have confined to a limited area for three reasons.
526 First, as discussed above, farmers face a shortage of manure due to scarcity of
527 forest resources required to produce it. Use of chemical fertilizers can reduce
528 dependence on manure but is not feasible partly because run-off accumulated
529 in the tanks is too low to realize potential benefits of chemical fertilizers and
530 partly because farmers have to block a significant portion of their income to
531 stock this input due to uncertainty of its availability. Second, the procedures of
532 drawing government grants for construction of irrigation tanks are so complex
533 and grants available for the purpose so low that only a few households have
534 been able to establish irrigation tanks. Third, labour productivity/net economic
535 returns from the tank based irrigated crop system is lower than the other
536 traditional land uses line HGS. Government support to canal based community

537 irrigation systems innovated by local communities in other regions of the
538 Indian Himalaya (Rao and Saxena, 1994; Chandrasekhar et al., 2007) are
539 likely to be more useful than that to the present tank based irrigation system.

540 Replacement of traditional crops by altogether new cash crops as a
541 means of economic development (Midmore et al., 1996; Semwal et al., 2004)
542 is not visible in the study village possibly because farmers are likely to turn to
543 modern inputs only when they have exhausted the potential of their traditional
544 methods (Abdoulaye and Lowenberg-DeBoer, 2000). Though farmers of the
545 study area have been exposed to market economy since last couple of decades
546 only, they have gained some understanding about the risks and uncertainties
547 related to market prices/demands (Jodha, 2000). They grow cash crops to an
548 extent that there are no risks to local food security. Food self-sufficiency in the
549 absence of any modern agricultural inputs under normal monsoon scenario
550 observed in this study cannot be generalized too far in view of significant food
551 shortage reported by others (Bohle and Adhikari, 1998).

552 *4.2. Soil fertility in relation to land-use*

553 Soil organic carbon and nutrient concentrations in different land-use
554 types in Bacchelikhal village landscape are within the range of values reported
555 in other studies (Rao et al., 2005a). Irrigation coupled with high rates of
556 manure input, low ratio of harvested biomass/standing biomass and a high tree
557 density enabled a markedly higher level of organic C and nutrients in HGS
558 (Elias et al., 1998). Our results differ from those of Schreier et al. (1994) who
559 observed soils of irrigated agroecosystems in Nepal Himalaya to be more
560 depleted than those of rainfed agroecosystems and Singh et al. (1988) who
561 observed agricultural soils to be more depleted than forest soils in Indian
562 Himalaya. The RAS close to dwellings had higher soil organic C and nutrient
563 levels compared to the RCS away from the dwellings, a trend also observed in
564 other traditional rainfed farming systems (Murage et al., 2000; Tittonell et al.,
565 2005).

566 Decline in soil fertility with progress of cropping phase in shifting
567 agriculture reported here is a common trend (Ramakrishnan, 1992; Juo and
568 Manu, 1996; Salcedo et al., 1997). A 7-year fallow period is too short to
569 improve soil organic C and nutrient stocks except available P, as also observed
570 by Sirios et al. (1998). Improvement in soil fertility after fire seems to stem
571 from release of minerals through ash, stimulation of activity of beneficial
572 microbes and mulching effect of partially burnt slash (Andriessse and
573 Schelhaas, 1987). There is a need of evaluating the impact of fallowing on soil
574 biological processes and concentration of available forms of nitrogen.

575 Frequent ground fires by local people to improve quality and
576 productivity of herbaceous fodder coupled with unregulated grazing may be
577 attributed to lower soil organic C and nutrients in the Reserve Forests (RF)
578 compared to the Community Forests (CF). Disturbances of fire and grazing in
579 the present RF are due to limited capacity of government agencies to protect
580 these forests. If management plans are properly implemented, RFs have been
581 found to be richer in soil fertility in comparison to CFs (Thadani and Ashton,

582 1995). Contribution of species specific differences in organic matter
583 partitioning and nutrient cycling patterns to the differences in soil chemical
584 properties of the two forest types cannot be ruled out (Schmidt et al., 1993;
585 Montagnini and Sancho, 1994). A small sample size ($n = 15$), however,
586 warrants generalizations of the conclusions related to spatial variability in soil
587 fertility within highly heterogeneous mountain village landscapes.

588 Availability of litter and livestock feed from forests to produce farm
589 yard manure is a form of environmental service to local inhabitants from
590 natural forests. Coupled with this locally valued environmental service are the
591 globally valued environmental services from forests viz., recharge of springs,
592 soil conservation and carbon sequestration. Excessive removal of litter from
593 the forest floor is likely to reduce these global benefits from the Himalayan
594 forests (Rawat and Rawat, 1994). Further research is needed to define
595 precisely the nature and intensity of forest disturbances which do not pose any
596 threat to both global and local benefits from forest ecosystems.

597

598 4.3. Vegetation

599 Extensive shifting agriculture in hills with cultivation cycles shorter
600 than 10 years may result in a severe loss of forest regeneration potential (Uhl,
601 1987; Rouw, 1995). However, if shifting agriculture is a minor land-use
602 interspersed with other tree-rich land-use/cover types, tree regeneration during
603 fallow phase may be significant as observed in this study. Land-use variability
604 brings in habitat heterogeneity resulting in a high level of biodiversity in
605 village landscapes (Smeding and Joenjeb, 1999; Iiyama et al., 2005).
606 However, both shifting agriculture and forests in the present study area
607 provide niche for an alien invasive species *Lantana camara* posing threats to
608 indigenous biodiversity and ecosystem functions in future (Duggin and Gentle,
609 1998). Basal area of trees in the present village landscape ($20\text{-}43\text{ m}^2\text{ ha}^{-1}$) is
610 indeed substantially lower than the value of $72\text{ m}^2\text{ ha}^{-1}$ reported in well
611 managed forests in comparable biophysical conditions (Singh et al., 1995).

612 Perceptions on traditional subsistence agriculture as a major threat to
613 environmental degradation in the Himalaya led to policies prohibiting
614 cultivation of agricultural or horticultural crop in forest lands. Such policies
615 did succeed in checking agricultural expansion at the cost of loss of forest area
616 but not in enhancing functions of degraded forest lands. Higher species
617 richness, basal area and soil organic C and nutrient stocks in homegarden
618 systems (HGS) compared to CF suggest that policies allowing cultivation of
619 cash fetching annual/perennial crops on a limited scale in CF and RF will
620 serve not only the economic interests of local people but will also
621 conserve/restore globally significant biodiversity and ecosystem services
622 (Wiersum, 2004).

623 5. CONCLUSIONS

624 While the need of socio-economic development of local communities
625 coupled with environmental conservation in the Himalaya is being
626 increasingly realised, knowledge on ways and means of meeting this need is

627 limited. This study shows that (i) traditional homegarden systems (HGS) are
628 economically the most efficient production system and have soil organic
629 carbon and nutrient stocks significantly higher than other land-uses, (ii)
630 farmers capitalize upon crop genetic diversity to cope with the environmental
631 risks and uncertainties, (iii) farmers adopted shifting agriculture systems
632 (SAS) as a means of acquiring inheritable rights over larger land holdings
633 provided in the policies during the 1890s and subsequently continued it as a
634 means of income together with availability of high quality fuelwood, (iv)
635 cultural norms favour integration of different socio-economic classes by
636 discouraging hiring of labour from outside the village, (v) dominance of
637 fodder tree species in rainfed agroforestry system (RAS) derives from the
638 policy interventions causing reduction in fodder available from forests, (vi)
639 existing forest management systems are not effective in maintaining a large
640 basal area together with a high level of species richness and soil fertility and
641 ability to resist invasion by alien species, (vii) irrigation facilities supported by
642 the government increase pressure on forests due to increase in the rate of farm
643 yard manure input and (viii) farmers have to spend huge amount of labour and
644 time in producing manure, managing livestock and other subsidiary activities.
645 Interlinkages between agriculture, forests and rural economy suggest a need of
646 replacing the present policies of treating agricultural development, forest
647 conservation and economic development as independent sectors by an
648 integrated sustainable development policy. Such a policy should promote
649 innovative technologies and institutional arrangements enabling improvement
650 in traditional soil fertility management practices, maintenance of an
651 ecologically sound agroforestry system on private farm lands, economic
652 benefits to local people from sustainable utilization of forest resources and
653 more productive utilization of human labor. Further studies are needed to
654 improve the scientific knowledge required for developing sustainable
655 development policies and programmes in the Himalayan region.

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- 844

844 **LEGEND TO FIGURES**

845 Figure 1. Location and land-use/cover types differentiated in village
846 Bacchelikhal, Garhwal, India.

847 Figure 2. Farmers' decision making on cropping pattern during rainy season
848 in rainfed agroforestry system.

849 Figure 3. (A) Organic C, (B) total N, (C) available P pools in soil under
850 different land-use/cover types. HG, homegarden system; RAF, rainfed
851 agroforestry system; RCS, rainfed cropping system; ICS, irrigated
852 cropping system; 1YC and 4YC, first and fourth (last) year,
853 respectively, of cropping after slash-burn in shifting agriculture; 1YF
854 and 7YF, first and seven year old fallow field under shifting
855 agriculture; RF, Reserve Forests; CF, Community Forests. Different
856 letters denote significant ($P < 0.05$) differences in total soil organic C,
857 total N, available P pools in 0-30 cm soil layer between various land-
858 use/cover types.

859 Figure 4. (A) exchangeable K, (B) exchangeable Ca and (C) exchangeable Mg
860 pools in soil under different land-use/cover types. HG, homegarden
861 system; RAF, rainfed agroforestry system; RCS, rainfed cropping
862 system; ICS, irrigated cropping system; 1YC and 4YC, first and fourth
863 (last) year, respectively, of cropping after slash-burns in shifting
864 agriculture; 1YF and 7YF, first and seven year old fallow field under
865 shifting agriculture; RF, Reserve Forests; CF, Community Forests.
866 Different letters denote significant ($P < 0.05$) differences in
867 exchangeable K, Ca and Mg pools in 0-30 cm soil layer between
868 various land-use/cover types.

869

870

870 Table 1
 871 Selected attributes (standard deviation values given with the means) of
 872 Bacchelikhal village community, Garhwal, India.
 873

Attribute	Higher caste	Lower caste
Number of households	40	8
Population	252	51
Family size (number of individuals)	6.3 ± 0.8	6.4 ± 1.1
Number of individuals per family with employment outside the village*	0.65 ± 0.5	0.13 ± 0.36
Number of individuals per family with employment inside the village	0	0.16 ± 0.52
Land holding size (ha)		
Homegarden system	0.04 ± 0.01	0.11 ± 0.03
Rainfed agroforestry system	0.82 ± 0.11	0.42 ± 0.05
Rainfed crop system	0.27 ± 0.18	0
Irrigated crop system	0.02 ± 0.06	0
Shifting agriculture	0.76 ± 0.12	0.23 ± 0.05
Total land holding	1.92 ± 0.11	0.76 ± 0.08

874
 875 *Permanent outmigration is altogether lacking

876 Table 2
 877 Selected features of land-use/cover types differentiated in Bacchelikhal village landscape, Garhwal, India
 878

Land-use	Relative area (% of total village area)	Distance from dwellings (km)	General appearance	Ownership/management
Settled agriculture				
Homegarden system	1.7	0.01-0.3	5-8 ⁰ outward sloping terraces; tree crown cover > 80%; dominance of fruit trees	Land owned and managed by individual families; continuously irrigated by domestic waste water
Rainfed agroforestry system	24.7	0.2-1.0	5-8 ⁰ outward sloping terraces; scattered multipurpose trees; crown- cover 10-20%	Land owned and managed by individual families
Rainfed crop system	7.7	2.0-3.0	5-8 ⁰ outward sloping terraces; absence of trees	Land owned and managed by individual families
Irrigated crop system	0.6	2.5-3.0	5-8 ⁰ outward sloping terraces; absence of trees	Land owned and managed by individual families; irrigated by stream water stored in small tanks, with irrigation intensity markedly lower than that in homegarden
Shifting agriculture	21.4	2.5-4.0	10-15 ⁰ outward sloping terraces; absence of trees	Privately owned but collectively managed
Forests				
Community forests	35.7	3.0-4.5	natural 30-40 ⁰ slopes; short trees (< 10 m height) and crown cover 30-45%	Land owned by the government but forests are managed by the village community; regulated uses of non-timber forest products and absence of fire
Reserve forests	8.2	4.5-5.0	natural 20-30 ⁰ slopes; tall trees (> 15 m) of <i>Shorea robusta</i> and crown cover of 45-60%	Land and resources both owned and managed by the government; unregulated uses of non-timber forest products and frequent disturbance of ground fire.

879

879 Table 3
 880 Crop-wise relative area (area of a crop as % of total cropped area in rainy season/winter season), inputs, land productivity (energy available from
 881 human food/fodder expressed in GJ or monetary value of produce in Rs per ha of land) and labour productivity (food/fodder energy or monetary
 882 value of the produce per GJ human labour input) related to individual crops grown in different agricultural land-uses (1 US \$ = Rs 45; monetary value
 883 of fodder is not given as it is not sold) in Bacchelikhal village landscape, Garhwal, India
 884

Land-use/crop	Relative area (%)	Inputs							Edible yield				Fodder	
		Manure (Mg ha ⁻¹ yr ⁻¹)	Bullock power (GJ ha ⁻¹ yr ⁻¹)	Seed (GJ ha ⁻¹ yr ⁻¹)	Labour				Land productivity		Labour productivity		Land productivity (GJ ha ⁻¹ yr ⁻¹)	Labour productivity (GJ GJ ⁻¹ yr ⁻¹)
					Person days ha ⁻¹ yr ⁻¹		Energy (GJ ha ⁻¹ yr ⁻¹)		Food energy (GJ ha ⁻¹ yr ⁻¹)	Monetary value (Rs ha ⁻¹ yr ⁻¹)	Food energy (GJ GJ ⁻¹ yr ⁻¹)	Monetary value (Rs GJ ⁻¹ yr ⁻¹)		
					Male	Female	Male	Female						
Rainfed agroforestry system														
<i>Echinochloa frumentacea</i> Link*	36	26 ^b	0.39 ^d	0.79 ^d	13 ^d	62 ^d	0.07 ^d	0.21 ^d	34 ^b	5219 ^{de}	121 ^a	18639 ^{bcd}	59 ^a	213 ^f
<i>Eleusine coracana</i> (L.) Gaertner + <i>Glycine max</i> (L.) Merrill*	30	12 ^{cd}	0.71 ^b	1.29 ^b	19 ^d	65 ^d	0.10 ^d	0.22 ^d	33 ^b	11973 ^{bcd}	104 ^{ab}	37415 ^b	65 ^a	203 ^f
<i>Zea mays</i> L. + <i>Glycine max</i> (L.) Merrill*	34	42 ^a	0.87 ^a	2.41 ^a	23 ^b	98 ^b	0.12 ^b	0.33 ^b	49 ^a	33635 ^a	108 ^{ab}	74744 ^a	71 ^a	158 ^{cd}
<i>Hordeum vulgare</i> L.**	40	1 ^e	0.78 ^b	1.10 ^c	17 ^e	26 ^e	0.09 ^e	0.10 ^e	23 ^b	2858 ^e	120 ^a	15042 ^{cd}	36 ^{bc}	187 ^{bc}
<i>Triticum aestivum</i> L.**	60	8 ^{de}	0.78 ^b	1.33 ^b	18 ^e	33 ^e	0.10 ^e	0.11 ^e	15 ^c	7021 ^{cde}	69 ^{bcd}	33433 ^{bc}	23 ^{cd}	111 ^{ef}
Rainfed crop system														
<i>Echinochloa frumentacea</i> Link*	50	1 ^e	0.32 ^d	0.99 ^d	10 ^e	47 ^e	0.05 ^e	0.16 ^e	27 ^b	4151 ^e	128 ^a	19766 ^{bcd}	62 ^a	296 ^a
<i>Eleusine coracana</i> (L.) Gaertner**	50	1 ^e	0.59 ^c	0.79 ^d	10 ^e	50 ^e	0.05 ^e	0.17 ^e	27 ^b	5076 ^{de}	124 ^a	23072 ^{bcd}	40 ^b	184 ^{fc}
<i>Hordeum vulgare</i> L.**	14	1 ^e	0.35 ^d	1.05 ^c	10 ^e	36 ^e	0.05 ^e	0.12 ^e	21 ^b	2571 ^e	121 ^a	15124 ^{cd}	18 ^{de}	103 ^{ef}
<i>Triticum aestivum</i> L. + <i>Hordeum vulgare</i> L.**	57	1 ^e	0.29 ^d	1.17 ^c	8 ^f	27 ^f	0.04 ^f	0.07 ^f	4 ^d	2032 ^e	40 ^{cd}	18472 ^{bcd}	13 ^{de}	117 ^{ef}

<i>Triticum aestivum</i> L.**	29	1 ^e	0.32 ^d	1.38 ^b	6 ^f	18 ^f	0.03 ^f	0.06 ^f	3 ^d	1159 ^e	38 ^d	12878 ^d	6 ^e	62 ^g
Irrigated crop system														
<i>Sesamum indicum</i> L.*	100	21 ^{bc}	0.99 ^a	0.91 ^c	25 ^d	50 ^d	0.13 ^d	0.17 ^d	12 ^c	8201 ^{cde}	41 ^{cd}	27337 ^{bcd}	nil	nil
<i>Triticum aestivum</i> L.**	100	12 ^{cd}	0.98 ^a	1.34 ^b	44 ^c	47 ^c	0.23 ^c	0.16 ^c	27 ^b	12546 ^{bcd}	69 ^{bcd}	32169 ^{bc}	36 ^{bc}	83 ^{fg}
Shifting agriculture														
<i>Sesamum indicum</i> L.-first year crop*	25	nil	nil	1.22 ^b	78 ^a	124 ^a	0.41 ^a	0.42 ^a	28 ^b	17796 ^b	33 ^d	21440 ^{bcd}	nil	nil
<i>Macrotyloma uniflorum</i> (Lam.) Verdc. - fourth year crop*	75	nil	nil	1.06 ^c	13 ^e	27 ^e	0.07 ^e	0.09 ^e	14 ^c	13032 ^{bc}	87 ^{abc}	81450 ^a	nil	nil

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* crops grown in rainy season, ** crops grown in winter season, values with different letters within a column are significantly ($P < 0.05$) different

889 Table 4
 890 Annual mean inputs, land productivity and labour productivity of different agricultural land-use types in Bacchelikhal village landscape, Garhwal,
 891 India
 892

	Inputs				Edible yield				Fodder				
	Manure (Mg ha ⁻¹ yr ⁻¹)	Bullock power (GJ ha ⁻¹ yr ⁻¹)	Seed (GJ ha ⁻¹ yr ⁻¹)	Labour				Land productivity		Labour productivity			
				Person days ha ⁻¹ yr ⁻¹		Energy (GJ ha ⁻¹ yr ⁻¹)		Food energy (GJ ha ⁻¹ yr ⁻¹)	Monetary value (Rs ha ⁻¹ yr ⁻¹)	Food energy (GJ GJ ⁻¹ yr ⁻¹)	Monetary value (Rs GJ ⁻¹ yr ⁻¹)	Land productivity (GJ ha ⁻¹ yr ⁻¹)	Labour productivity (GJ GJ ⁻¹ yr ⁻¹)
				Male	Female	Male	Female						
Homegarden system	50 ^a	1.55 ^b	0.73 ^c	22.7 ^c	139.1 ^a	0.13 ^c	0.47 ^a	102 ^a	63340 ^a	171 ^a	105567 ^a	36 ^c	60 ^c
Rainfed agroforestry system	30 ^b	2.36 ^a	2.62 ^a	33.2 ^b	100.6 ^b	0.19 ^b	0.34 ^b	55 ^b	20362 ^b	103 ^b	38419 ^b	99 ^a	187 ^b
Rainfed crop system	1 ^c	0.77 ^c	2.14 ^a	15.7 ^d	74 ^c	0.09 ^d	0.25 ^c	34 ^c	6674 ^d	99 ^b	19629 ^d	73 ^b	216 ^a
Irrigated crop system	33 ^b	1.95 ^{ab}	2.26 ^a	66.3 ^a	106.5 ^b	0.38 ^a	0.36 ^b	39 ^c	20747 ^b	53 ^c	28036 ^c	36 ^c	49 ^d
Shifting agriculture	nil	nil	1.08 ^b	26.2 ^c	47.3 ^d	0.15 ^c	0.16 ^d	19 ^d	12170 ^c	61 ^c	39258 ^b	nil	nil

893
 894

894 Table 5
 895 Annual monetary input, output, net return and output/input ratio related to different agricultural land-use types in Bacchelikhal village landscape,
 896 Garhwal, India*
 897

Land-use system	Input				Output (Rs ha ⁻¹ yr ⁻¹)	Net return (Rs ha ⁻¹ yr ⁻¹)	Output/input ratio
	Labour (Rs ha ⁻¹ yr ⁻¹)	Manure (Rs ha ⁻¹ yr ⁻¹)	Seed (Rs ha ⁻¹ yr ⁻¹)	Total (Rs ha ⁻¹ yr ⁻¹)			
Homegarden	10841 ^a	22500 ^a	381 ^c	33722 ^a	63340 ^a	29618 ^a	1.88 ^b
Rainfed agrorofestry system	8965 ^c	13500 ^b	1332 ^a	23797 ^b	20362 ^b	-3435 ^c	0.86 ^c
Rainfed crop system	6010 ^d	450 ^c	625 ^b	7085 ^c	6674 ^d	-411 ^d	0.94 ^d
Irrigated crop system	11578 ^b	14850 ^b	1118 ^a	27546 ^b	20747 ^b	-6799 ^c	0.75 ^c
Shifting agriculture	4925 ^e	nil	719 ^b	5644 ^d	12170 ^c	6527 ^b	2.16 ^a

898
 899 *Local people did not attach any monetary value to bullock power and fodder available from crop fields and hence these two components are not
 900 accounted
 901
 902

902 Table 6
 903 Density (individuals ha⁻¹) of mature trees (MT) and regeneration (seedlings + saplings) (SS) and basal area (BA) (m² ha⁻¹) in land-use/cover types in
 904 Bacchelikhal village landscape, Garhwal, India
 905

	Homegarden			Rainfed agroforestry system			Community forest			Reserve forest			1-year fallow			7-year fallow		
	MT	SS	BA	MT	SS	BA	MT	SS	BA	MT	SS	BA	MT	SS	BA	MT	SS	BA
<i>Acacia catechu</i> (L.f.) Willd.	-	-	-	-	-	-	36	-	4	-	-	-	-	-	-	-	-	-
<i>Adina cordifolia</i> (Roxb.) Hook.f.ex Brandis	-	-	-	31	13	3	157	100	8	13	-	2	-	-	-	30	143	8
<i>Anogeissus latifolius</i> (Roxb. ex DC.) Wallich ex Richard	-	-	-	5	2	<1	-	7	-	53	-	5	-	-	-	-	-	-
<i>Boehmeria rugulosa</i> Wedd.	27	-	2	121	18	8	-	-	-	-	-	-	-	-	-	-	-	-
<i>Carica papaya</i> L.	53	-	3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<i>Celtis australis</i> L.	9	-	1	23	16	2	-	-	-	-	-	-	-	-	-	-	-	-
<i>Citrus medica</i> L.	45	-	3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<i>Diospyros montana</i> Roxb.	-	-	-	-	-	-	-	14	-	20	33	2	-	-	-	-	30	-
<i>Ficus roxburghii</i> Wallich ex Miq.	-	-	-	10	25	1	-	-	-	-	-	-	-	-	-	-	-	-
<i>Gmelina arborea</i> Roxb.	-	-	-	-	-	-	86	371	3	20	40	1	-	153	-	10	116	1
<i>Grewia optiva</i> J.R. Drummond ex Burret	24	-	2	120	83	9	-	-	-	-	-	-	-	-	-	-	-	-
<i>Lannea coromandelica</i> (Houttuyn) Merrill	-	-	-	-	5	-	-	-	-	87	0	11	-	-	-	-	-	-
<i>Mallotus philippensis</i> (Lam.) Muell.-Arg.	-	-	-	2	25	<1	64	314	1	27	813	1	-	1153	-	-	187	-
<i>Nyctanthes arbo-tristis</i> L.	-	-	-	-	-	-	50	-	2	-	-	-	-	-	-	-	30	-
<i>Psidium guajava</i> L.	81	-	6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<i>Punica granatum</i> L.	51	-	5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<i>Shorea robusta</i> Roxb. ex Gaertner f.	-	-	-	-	-	-	-	-	-	93	53	16	-	-	-	-	-	-
Others	138	-	10	50	54	2	42	285	2	42	421	5	-	20	-	-	107	-
Total	428	-	32	362	241	26	435	1091	20	355	1360	43	-	1326	-	40	613	9

906
 907 ‘-’ refers to absence.
 908
 909

910 Table 7
 911 Density (individuals ha⁻¹) of shrub species in different land-use/cover types* in Bacchelikhal village landscape, Garhwal, India
 912

	Community forest	Reserve forest	1- year fallow	7- year fallow
<i>Carissa opaca</i> Stapf. ex Haines.	257	213	1733	2065
<i>Lantana camara</i> L.	114	567	932	2132
<i>Murraya koenigii</i> (L.) Sprengel	1500	1080	999	666
<i>Rhus parviflora</i> Roxb.	300	27	1930	1937
Others	93	106	1463	90
Total	2264	1993	7057	6890

913
 914 *Settled agricultural land-uses had negligible shrub component and hence not shown here
 915

915 Table 8
 916 Density (individuals m⁻²) of herbaceous species in different land-use/cover types in Bacchelikhal village landscape, Garhwal, India
 917

	Rainfed agroforestry system	Rainfed crop system	Irrigated crop system	1 st year crop: shifting agriculture	4 th year crop: shifting agriculture	1-year fallow: shifting agriculture	7-year fallow: shifting agriculture	Community forest	Reserve forest
<i>Ageratum conyzoides</i> L.	43	–	19	5	103	20	–	–	–
<i>Artemisia scoparia</i> Waldstein & Kitaibel	–	–	–	–	–	3	7	1	1
<i>Brachiaria ramosa</i> (L.) Stapf.	1	8	7	7	7	–	–	–	–
<i>Bulbostylis densa</i> (Wallich. ex Roxb.) Hand-Mazz	–	12	1	1	–	–	–	–	–
<i>Commelina benghalensis</i> L.	12	2	2	2	14	–	–	–	–
<i>Commelina erecta</i> L.	1	20	1	–	–	–	–	–	–
<i>Cymbopogon martinii</i> (Roxb.) W. Watson	–	–	–	–	–	–	–	1	1
<i>Cyperus rotundus</i> L.	12	13	22	3	–	–	–	–	–
<i>Euphorbia hirta</i> L.	–	–	–	–	–	1	5	1	–
<i>Hedyotis corymbosa</i> (L.) Lam.	1	–	1	6	1	–	–	–	–
<i>Oxalis corniculata</i> L.	–	–	–	–	–	–	20	1	–
<i>Rumex hastatus</i> D. Don	–	–	–	–	–	–	–	1	1
<i>Stellaria media</i> (L.) Villars	–	18	66	–	–	–	–	–	–
<i>Tridax procumbens</i> L.	–	–	1	–	12	1	2	2	1
Others	32	16	19	–	–	13	42	–	–
Total	102	89	139	24	137	38	76	7	4

918
 919 Table 9. Farmers' criteria of distinguishing crops grown in Bacchelikhal village landscape, Garhwal Himalaya, India

920

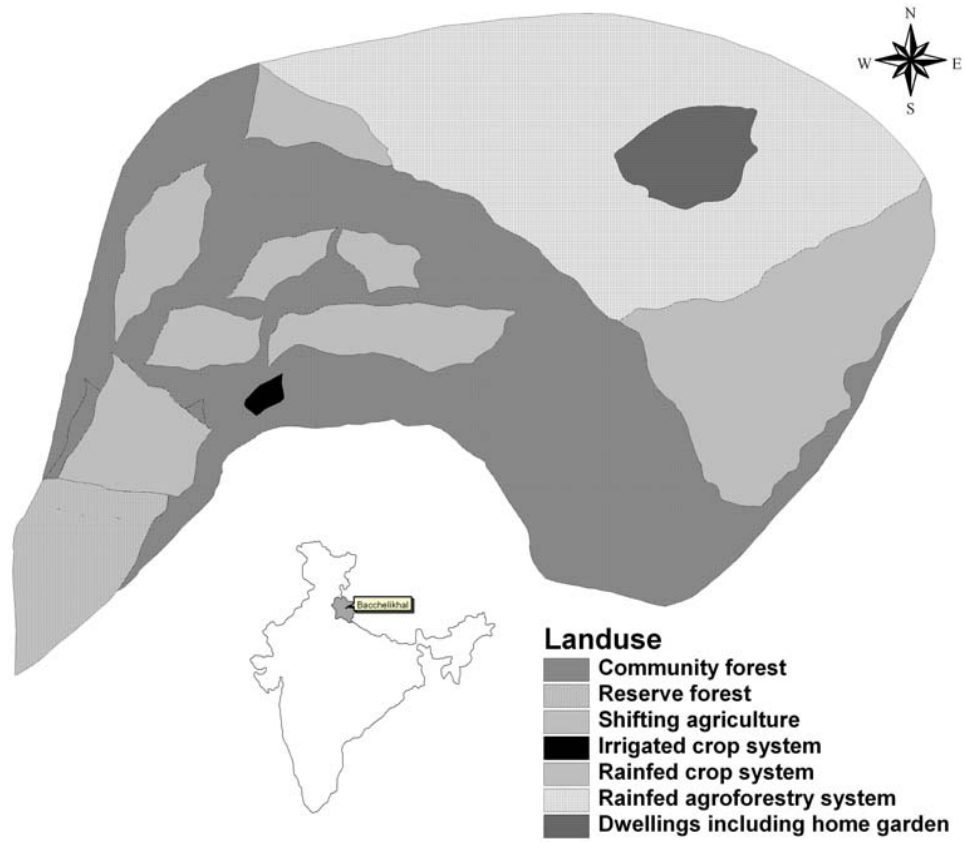
Crops	Adaptation to stress due to					Economic value
	Moisture*	Nutrients*	Agroforestry trees*	Absence of tillage*	Weeds*	
<i>Echinochloa frumentacea</i> **	High	High	Low	Low	Low	Low
<i>Eleusine coracana</i> **	High	High	Low	Low	Low	Low
<i>Zea mays</i>	Low	Low	Low	Low	Low	High
<i>Glycine max</i> (Soybean)	Low	Low	Low	Low	Low	High
<i>Macrotyloma uniflorum</i>	High	High	Low	High	High	High
<i>Sesamum indicum</i>	High	Low	Low	High	High	High
<i>Triticum aestivum</i>	Low	Low	Low	Low	Low	High
<i>Hordeum vulgare</i>	High	High	Low	Low	Low	Low

921

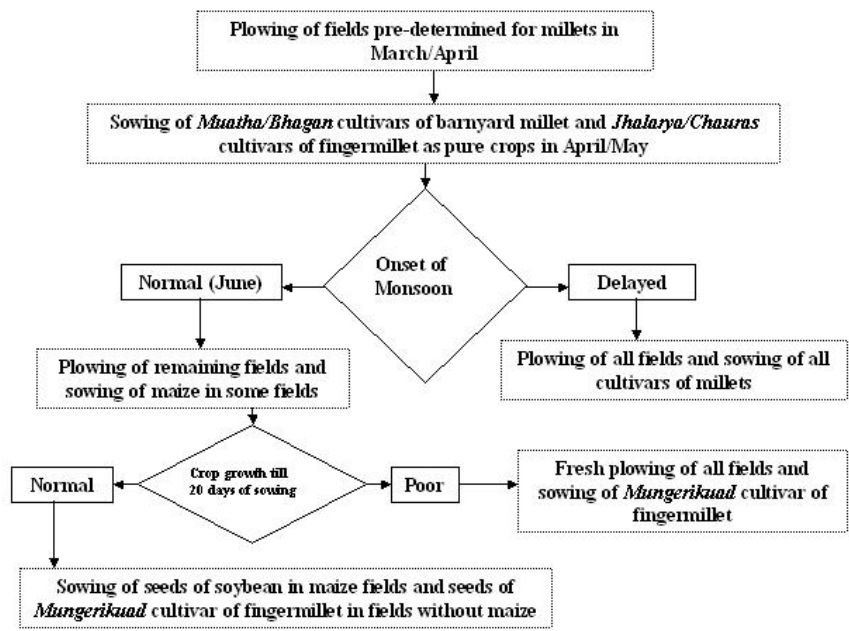
922 * Farmers explained moisture stress as the conditions arising from delayed onset and/or lower amounts of monsoon rainfall, nutrient stress as the
923 conditions arising from lower rates of farmyard manure input in settled agriculture or prolonged cropping in shifting agriculture, stress caused by
924 agroforestry trees as shading of crops by tree canopy and crop depredation by birds perching on farm trees, stress caused by absence of tillage as poor
925 aeration and highly compact nature of soil and stress caused by weeds as suppression of crop due to competitive superiority of weeds

926

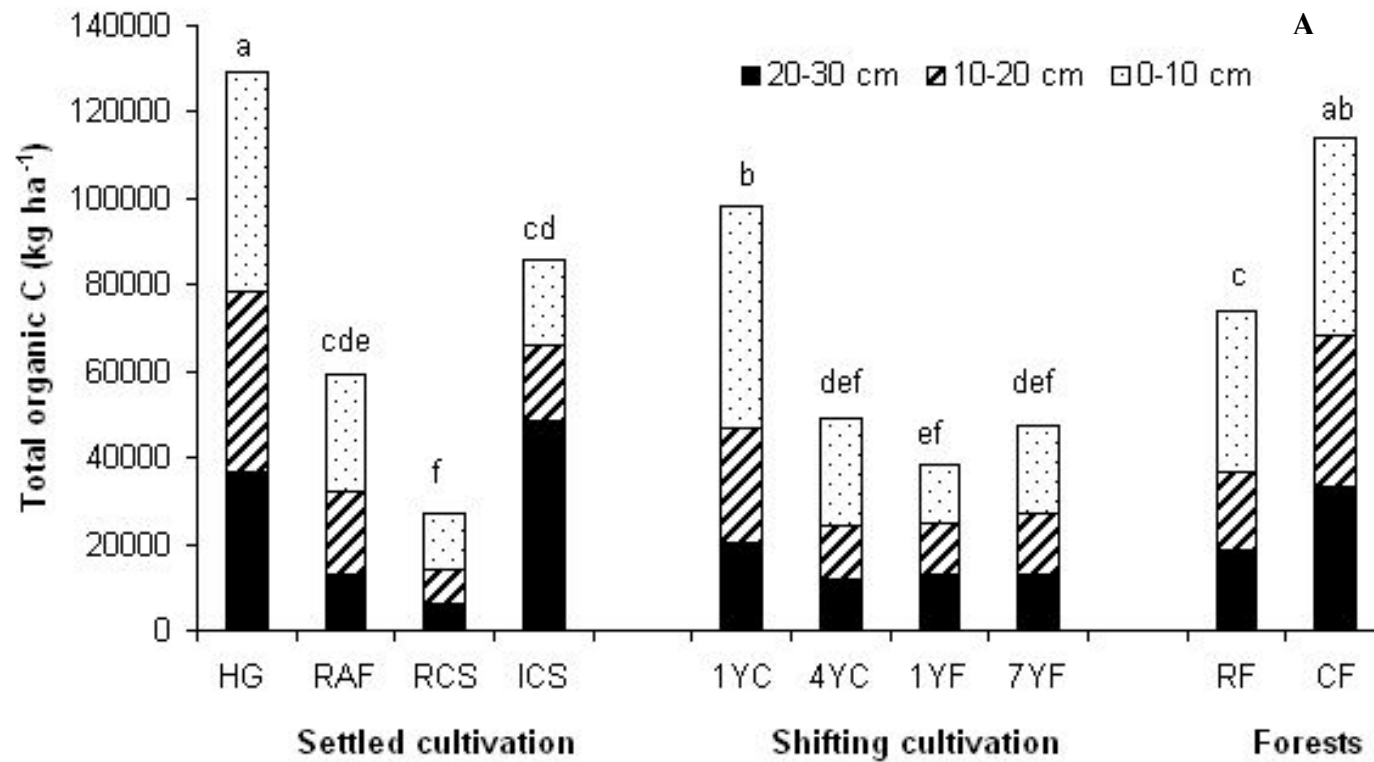
927 **In rainfed conditions, *Muatha* and *Bhagan* cultivars of barnyard millet and *Jhalarya* and *Chauras* cultivars of finger millet require longer growing
928 period as compared to *Mungerikuad* cultivar of finger millet.



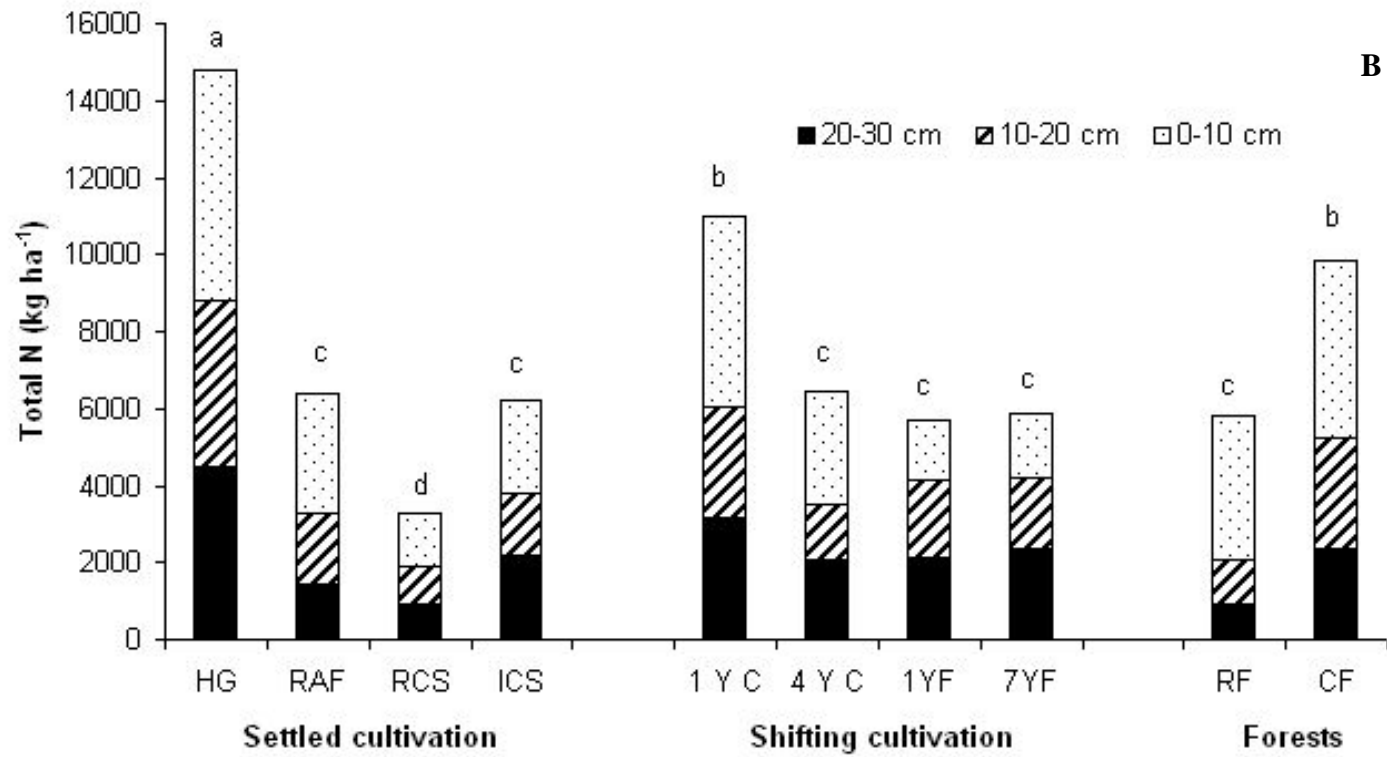
929
930 Fig 1.
931



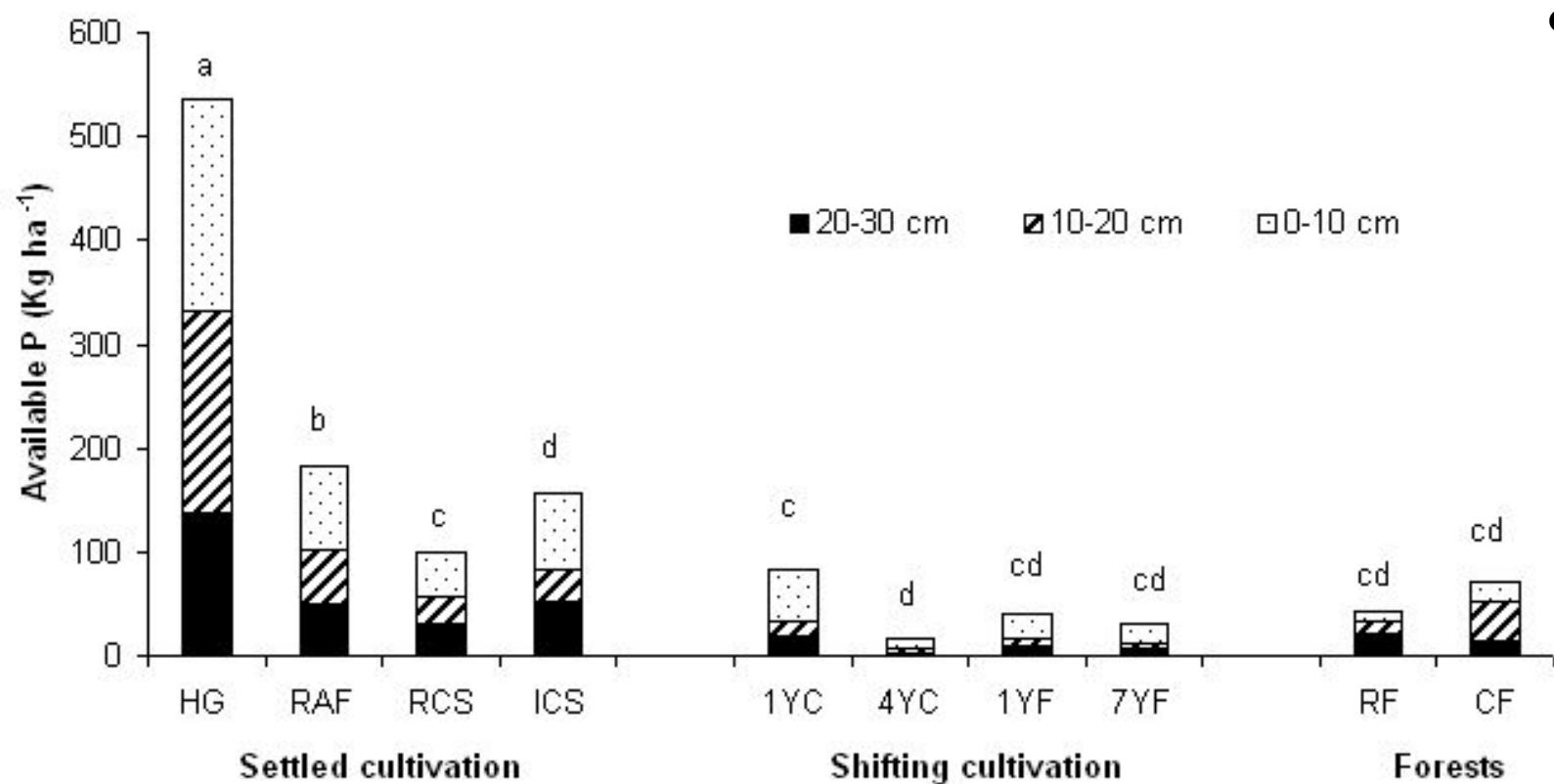
931
932 Fig 2.



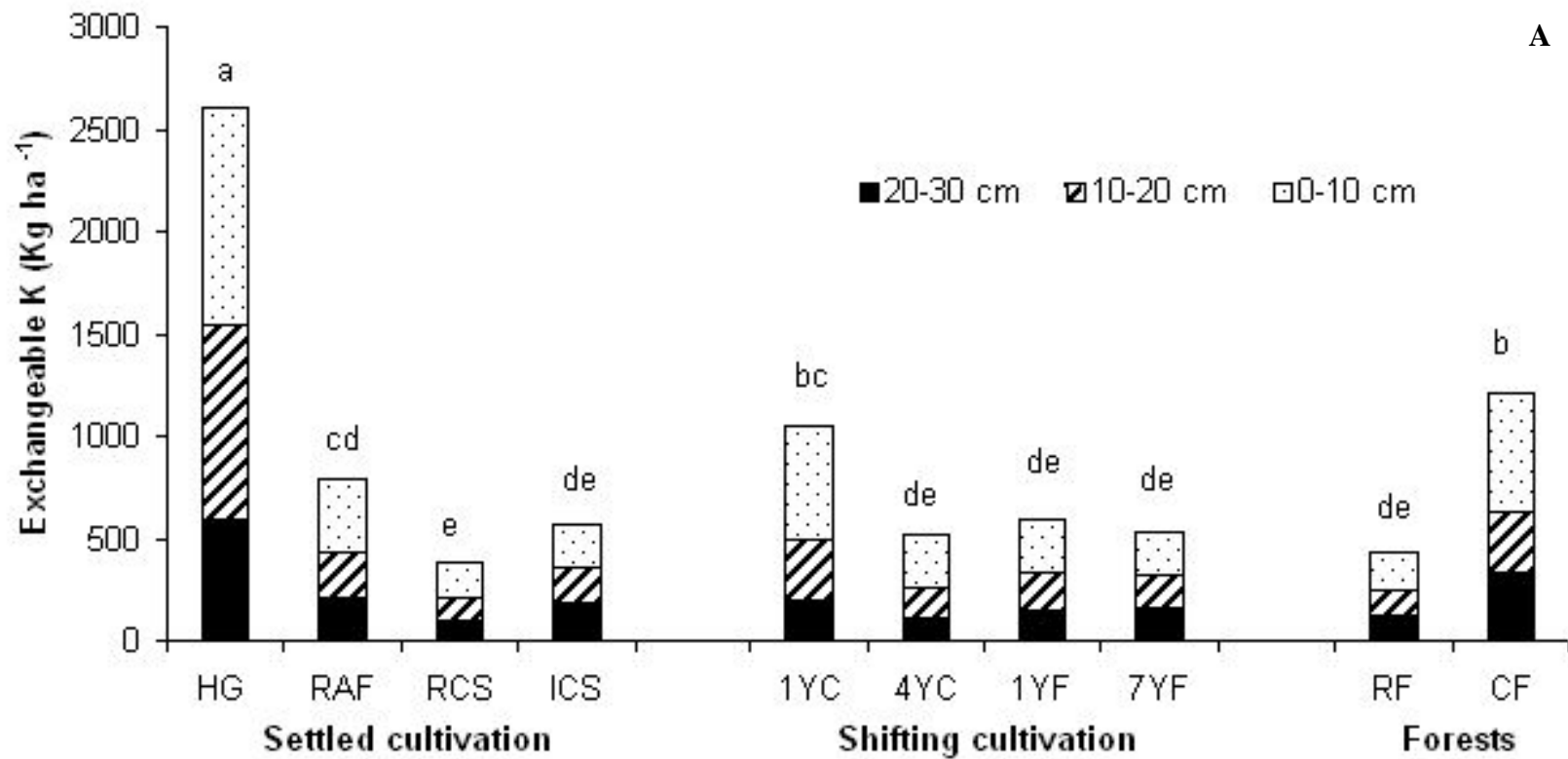
933
934 Figure 3A



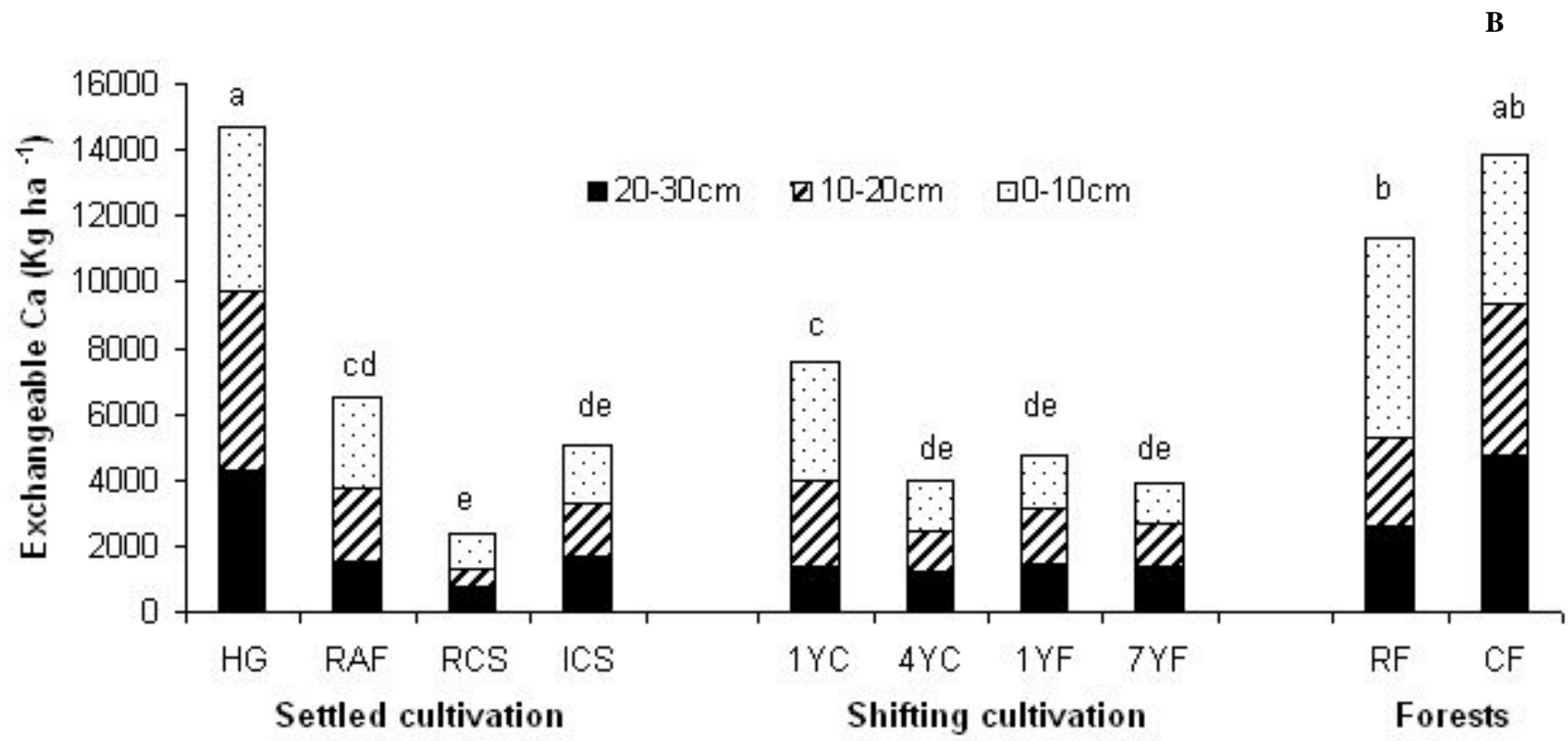
935
936 Figure 3 B.



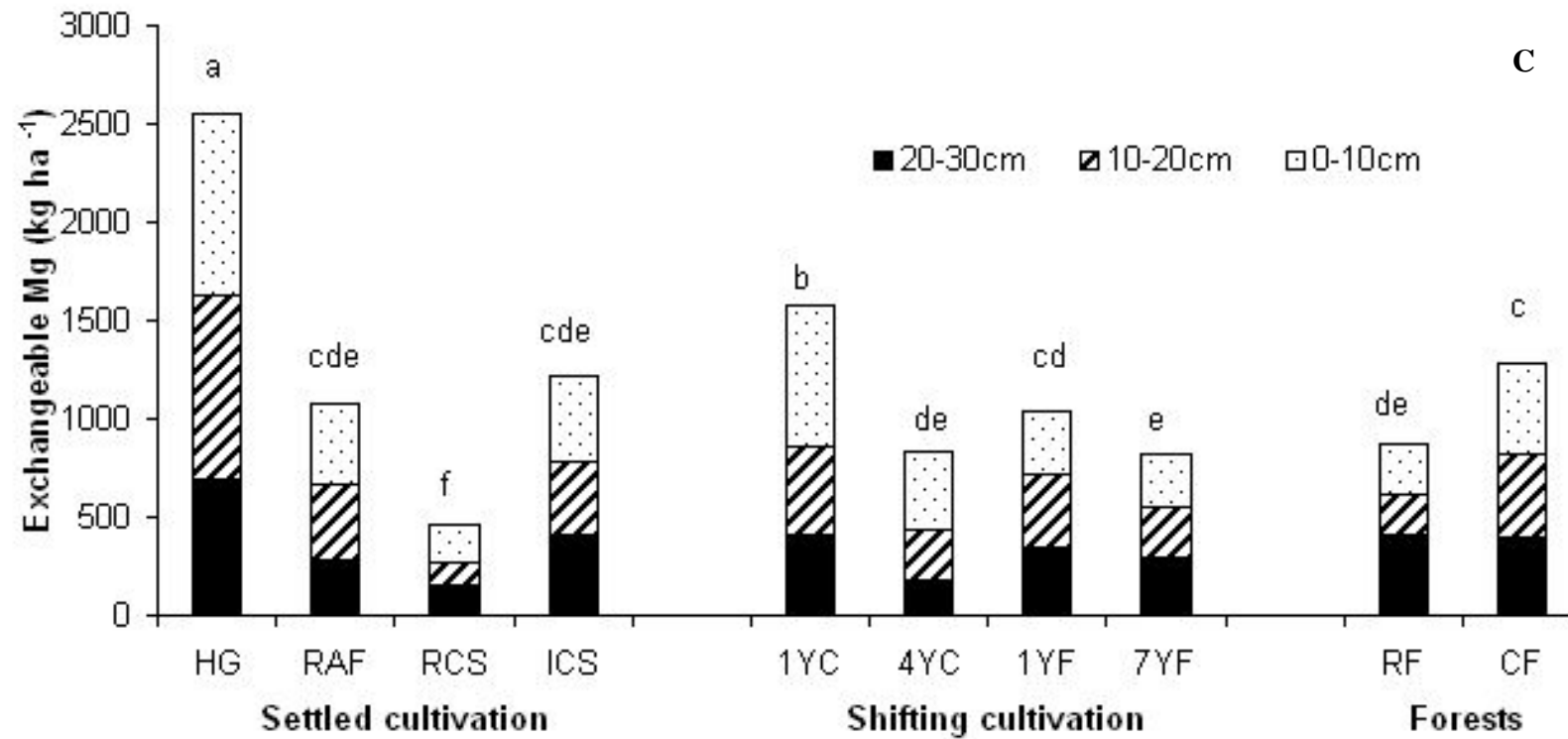
937
938 Figure 3 C.



939
940 Figure 4 A.



941
942 Figure 4 B.



943
944 Figure 4 C.